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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/800,040	03/15/2004	Jen-Yi Hu	025789-00007

004372
 ARENT FOX KINTNER PLOTKIN & KAHN
 1050 CONNECTICUT AVENUE, N.W.
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 WASHINGTON, DC 20036

CONFIRMATION NO. 6854

FORMALITIES LETTER



OC000000012824135

Date Mailed: 06/01/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/02/2004 HMEKDNEN 00000034 10800040

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 770.00 DP
 02 FC:1051 130.00 DP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Sadij

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Confirmation No. 6854

HU et al.

Group Art Unit: 2871

Application No.: 10/800,040

Examiner: Unknown

Filed: March 15, 2004

Attorney Dkt. No.: 025789-00007

For: A LIGHT EMITTING DEVICE AND METHOD OF DRIVING THEREOF

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

September 1, 2004

ATTN - BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application dated June 1, 2004 (copy attached), the following are enclosed:

☒ \$130.00 as payment of the surcharge for the Notice to File Missing

Parts;

☒ \$770.00 as payment for the filing fee;

☒ \$110.00 as payment for a one-month extension of time;

☒ Originally-executed Declaration/Power of Attorney;

☐ Originally-executed Verified Statement Claiming Small Entity Status;

☐ Verified Translation of the Application [with a Preliminary

Amendment]; and

☐ Other.

Applicants(s) are also enclosing the following:

- ☐ An Information Disclosure Statement with Form PTO-1449, _____
refs. [and a search report];
- ☐ A Submission of Priority Application No. _____ filed _____ along with
_____ certified priority document(s);
- ☒ Originally executed Assignment with Form PTO-1595; and
- ☒ \$40.00 as payment for the recordation of the Assignment.

The Examiner is respectfully requested to acknowledge that all of the requirements of 35 U.S.C. §111 have been met.

Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300, referencing docket number **025789-00007**.

Respectfully submitted,



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SH/grs